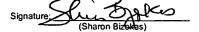
JC04 Rec'd PCT/PTO -1 AUG 2005



I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: July 28, 2005



Docket No.: 57734(11259)

(PATENT)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Lawrence G. Lum et al.

Application No.: 10/520,431

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Filed: January 5, 2005

For: TARGETING AND TRACKING OF CELLS TO SPECIFIC ORGANS AND TISSUES IN

VIVO

Group Art Unit: N/A

Examiner: Not Yet Assigned

# TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the abovereferenced Patent Application:

1. Written Opinion (3 pages).

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1105, under Order No. 57734(11259). A duplicate copy of this paper is enclosed.

Dated: July 28, 2005

Respectfully submitted,

Kathryn A. Piffat, Ph.D.

Registration No.: 34,901 EDWARDS & ANGELL, LLP

P.O. Box 55874

Boston, Massachusetts 02205

(617) 439-4444

Attorneys/Agents For Applicant

PATENT COOPERATION TREATY				
From the	m.	RECEIVED/		
INTERNATIONAL PRELIMINARY EXAMINING AUTHOR	11	PCT APR 1 9 2005		
To: ROBERT L. BUCHANAN		PCT APR 1 9 2005///		
EDWARDS & ANGELL, LLP		EDWARDS & ANGELL, LLP		
P.O. BOX 55874 BOSTON, MA 02205	W	RITTEN OP THE ORCKETING DEPT. (BOS)		
	"	Idi ibi oi Estat,		
		(PCT Rule 66)		
	Date of Mailing (day/month/year)	14 APR 2005		
Applicant's or agent's file reference	REPLY DUE	nin 1 months/days from		
57734-PCT		above date of mailing		
	late (day/month/year) Pr	iority date (day/month/year)		
PCT/US03/21142 03 July 2003 (03.07	.2003) 05	July 2002 (05.07.2002)		
International Patent Classification (IPC) or both national classification	fication and IPC			
IPC(7): A61K 39/395; A01N 63/00; A61K 35/26, 35/28 and U	S Cl.: 424/136.1, 153.1,155.1	,93.7,577		
Applicant				
ROGER WILLIAMS MEDICAL CENTER				
1. This written opinion is the <u>first</u> (first, etc.) drawn	y this International Prelimina	ry Examining Authority.		
2. This opinion contains indications relating to the fol	owing items:			
I Basis of the opinion	I Basis of the opinion			
II Priority				
III Non-establishment of opinion with rega	rd to novelty, inventive step a	nd industrial applicability		
IV Lack of unity of invention				
Reasoned statement under Rule 66.2 (a)(ii) with regard to novelty, inventive step or industrial applicability,				
VI Certain documents cited	citations and explanations supporting such statement  VI Certain documents cited			
VII Certain defects in the international application				
VIII Certain observations on the international application				
3. The applicant is hereby invited to reply to this opi	non.			
When? See the time limit indicated above. The applicant may, before the expiration of that time limit, request this  Authority to grant an extension. See rule 66.2(d).				
How? By submitting a written reply, acco	T + D 1 (62			
Also For an additional opportunity to submit amendments, see Rule 66.4.  For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis.  For an informal communication with the examiner, see Rule 66.6				
If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.				
4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 05 November 2004 (05.11.2004)				
examination report must be established according to	Trule 03.2 is. 03 November 2			
Name and mailing address of the IPEA/US  Mail Stop PCT, Attn: IPEA/ US	Authorized officer	46 00 (1)		
Commissioner for Patents	Michail A. Belyavsky	Jankence Gor		
P.O. Box 1450 Alexandria, Virginia 22313-1450	Telephone No. 571-22	72-1600		
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International application No.

PCT/US03/21142

I.	Basis of the opinion		
1.	With regard to the elements of the international application:*		
	the international application as originally filed the description: pages 1-67, as originally filed pages NONE, filed with the demand pages NONE, filed with the letter of		
	the claims:  pages 68-75, as originally filed  pages NONE, as amended (together with any statement) under Article 19  pages NONE, filed with the demand  pages NONE, filed with the letter of		
	the drawings:  pages NONE, as originally filed  pages 1-9, filed with the demand  pages NONE, filed with the letter of		
	the sequence listing part of the description:  pages NONE, as originally filed  pages NONE, filed with the demand  pages NONE, filed with the letter of		
2.	With regard to the language, all the elements marked above were available or furnished to this Authority in the anguage in which the international application was filed, unless otherwise indicated under this item.  These elements were available or furnished to this Authority in the following languagewhich	ı is:	
	the language of a translation furnished for the purposes of international search (under Rule23.1(b)).  the language of publication of the international application (under Rule 48.3(b)).  the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).	3	
3.	3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the written opinion was drawn on the basis of the sequence listing:  contained in the international application in printed form.  filed together with the international application in computer readable form.		
	furnished subsequently to this Authority in written form.  furnished subsequently to this Authority in computer readable form.		
	The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.  The statement that the information recorded in computer readable form is identical to the written sequence listing the beautiful and the statement that the information recorded in computer readable form is identical to the written sequence listing the beautiful and the statement that the information recorded in computer readable form is identical to the written sequence listing the statement that the information recorded in computer readable form is identical to the written sequence listing the statement that the information recorded in computer readable form is identical to the written sequence listing the statement that the information recorded in computer readable form is identical to the written sequence listing the statement that the information recorded in computer readable form is identical to the written sequence listing the statement that the information recorded in computer readable form is identical to the written sequence listing the statement that the information recorded in computer readable form is identical to the written sequence listing the statement that the information recorded in computer readable form is identical to the written sequence listing the statement that the information recorded in the statement that the statement that the information recorded in the statement that t		
4.	has been furnished.  The amendments have resulted in the cancellation of:		
	the description, pages NONE the claims, Nos. NONE the drawings, sheets/fig NONE		
5.	This opinion has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).		
	eplacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to opinion as "originally filed."	in	

Form PCT/IPEA/408 (Box I) (July 1998)

## WRITTEN OPINION

International application No. PCT/US03/21142

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

#### 1. STATEMENT

Novelty (N)	Claims 1-14 and 26-54 Claims 15-25	YES
Inventive Step (IS)	Claims <u>1-14</u> Claims <u>15-54</u>	YES
Industrial Applicability (IA)	Claims <u>1-54</u> Claims NONE	YES

### 2. CITATIONS AND EXPLANATIONS

Claims 15-25 lack novelty under PCT Article 33(2) as being anticipated by SEN et al.

SEN et al., teach a method of treating a patient suffering from cancer comprising isolating peripheral blood mononuclear cells from a patient suffering from cancer, activating T cells bt ex-vivo stimulating with anti-CD3 monoclonal antibody and arming activated T cells with bispecific antibodies binding the secondary labeled antibody specific for the fc region of the bispecific antibody and reinfusing the armed andd labeled activated T cell into the patient (see entire document, Material and Method, pages 257, and 258 in particular).

The reference teaching anticipated the claimed invention.

Claims 26-54 lack an inventive step under PCT Article 33(3) as being obvious over Sen et al.

The teaching of Sen et al. have being discussed supra.

SEN et al., do not teach a method for tracking cells in vivo at any desired location. However, said functional properties would be an obvious variation of the method taught by SEN et al.

Claims 1-14 meet the criteria set out in PCT Article 33(2) and (3), because the prior art does not teach or fairly suggest the claimed invention as recited in claims 1-14.

Claims 1-54 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

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International application No. PCT/US03/21142

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Supplemental Box	
To be used when the space in any of the preceding boxes is not sufficient	nt)
TIME LIMIT:	
he time limit set for response to a Written Opinion may not be extended	d. 37 CFR 1.484(d). Any response received after the expiration
f the time limit set in the Written Opinion will not be considered in pre-	paring the International Preliminary Examination Report.
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